

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.usplusgov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 10/624,809 07/21/2003 S. Ananth Karumanchi 01948/088004

21559 CLARK & ELBING LLP 101 FEDERAL STREET BOSTON, MA 02110 CONFIRMATION NO. 6646
FORMALITIES LETTER
\*OC000000011128125\*

Date Mailed: 10/29/2003

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 5A-C, 6A-C, and 7A-B.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

#### **Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the complete mailing or post office address of each inventor.

Replies should be mailed to: Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

# EXAMPLES OF FEES BEING ADJUSTED See <a href="https://www.uspto.gov">www.uspto.gov</a> for complete FY 2003 and FY-2004 Fee Schedules

\* Indicates fees remain at FY 2003

Reissue filing fee (Small Entity)         \$375         \$385           Reissue independent claims         \$84         \$86           Reissue independent claims (Small Entity)         \$42         \$43           Reissue claims in excess of twenty         \$18         \$18*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filing fee         \$160         \$160*           Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130         \$130*           Extension - First month         \$110         \$110*           Extension - First month (Small Entity)         \$55         \$55*           Extension - Second month (Small Entity)         \$205         \$210           Extension - Third month         \$1,450         \$1,480           Extension - Fourth month         \$1,450         \$1,480           Extension - Fifth month         \$1,970         \$2,010           Extension - Fifth month (Small Entity)         \$985         \$1,005           Petition         \$130 <th></th> <th></th> <th>amount</th>			amount
Basic filing fee - Utility (Small Entity)   \$375   \$385     Independent claims in excess of three   \$84   \$86     Independent claims in excess of three (Small Entity)   \$42   \$43     Claims in excess of twenty   \$18   \$18     Claims in excess of twenty (Small Entity)   \$9   \$9*     Multiple dependent claim (Small Entity)   \$140   \$145     Surcharge - Late filing fee   \$130   \$130     Surcharge - Late filing fee (Small Entity)   \$165   \$655     Design filing fee   \$330   \$340     Design filing fee (Small Entity)   \$165   \$170     Plant filing fee (Small Entity)   \$260   \$530     Plant filing fee (Small Entity)   \$375   \$385     Reissue filing fee (Small Entity)   \$375   \$385     Reissue independent claims (Small Entity)   \$375   \$385     Reissue independent claims (Small Entity)   \$42   \$43     Reissue claims in excess of twenty (Small Entity)   \$9   \$9*     Provisional application filing fee (Small Entity)   \$90   \$90		FY 2003	FY 2004
Independent claims in excess of three   \$84   \$86   Independent claims in excess of three (Small Entity)   \$42   \$43   Claims in excess of twenty   \$18   \$18   Claims in excess of twenty (Small Entity)   \$9   \$9*   Multiple dependent claim   \$280   \$290   Multiple dependent claim (Small Entity)   \$140   \$145   Surcharge - Late filing fee   \$130   \$130   Surcharge - Late filing fee (Small Entity)   \$165   \$150   Design filing fee   \$330   \$340   Design filing fee (Small Entity)   \$165   \$170   Plant filing fee (Small Entity)   \$260   \$265   Plant filing fee (Small Entity)   \$260   \$265   Plant filing fee (Small Entity)   \$375   \$385   Reissue filing fee (Small Entity)   \$375   \$385   Reissue filing fee (Small Entity)   \$42   \$43   Reissue claims in excess of twenty   \$18   \$18*   Reissue claims in excess of twenty   \$18   \$18*   Reissue claims in excess of twenty   \$9   \$9*   Provisional application filing fee   \$160   \$160*   Provisional application filing fee (Small Entity)   \$80   \$80*   Surcharge - Late provisional filing fee   \$50   \$50*   Surcharge - Late provisional filing fee (Small Entity)   \$25   \$25*   Surcharge - Late provisional filing fee (Small Entity)   \$30   \$130*   Extension - First month   \$110   \$110*   Extension - First month (Small Entity)   \$930   \$950*   Extension - Second month (Small Entity)   \$930   \$950*   Extension - Third month (Small Entity)   \$930   \$950*   Extension - Fourth month (Small Entity)   \$465   \$475   Extension - Fourth month (Small Entity)   \$725   \$740   Extension - Fourth month (Small Entity)   \$930   \$950*   Extension - Fourth month (Small Entity)   \$935   \$1,005*   Extension - Fifth month (Small Entity)   \$935   \$1,005*	Basic filing fee - Utility	\$750	\$770
Independent claims in excess of three (Small Entity)	Basic filing fee - Utility (Small Entity)	\$375	\$385
Claims in excess of twenty         \$18         \$18*           Claims in excess of twenty (Small Entity)         \$9         \$9*           Multiple dependent claim         \$280         \$290           Multiple dependent claim (Small Entity)         \$140         \$145           Surcharge - Late filing fee         \$130         \$130           Surcharge - Late filing fee         \$330         \$340           Design filing fee         \$330         \$340           Design filing fee (Small Entity)         \$165         \$170           Plant filing fee (Small Entity)         \$260         \$265           Plant filing fee (Small Entity)         \$260         \$265           Plant filing fee (Small Entity)         \$260         \$265           Reissue filing fee (Small Entity)         \$260         \$265           Reissue filing fee (Small Entity)         \$375         \$385           Reissue independent claims (Small Entity)         \$42         \$43           Reissue claims in excess of twenty         \$18         \$18*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee (Small Entity)         \$25 <td< td=""><td></td><td></td><td></td></td<>			
Claims in excess of twenty (Small Entity)         \$9         \$9*           Multiple dependent claim         \$280         \$290           Multiple dependent claim (Small Entity)         \$140         \$145           Surcharge - Late filing fee         \$130         \$130           Surcharge - Late filing fee (Small Entity)         \$65         \$65           Design filing fee         \$330         \$340           Design filing fee (Small Entity)         \$165         \$170           Plant filing fee (Small Entity)         \$260         \$265           Reissue filing fee (Small Entity)         \$260         \$265           Reissue filing fee (Small Entity)         \$375         \$375           Reissue independent claims         \$84         \$86           Reissue independent claims (Small Entity)         \$42         \$43           Reissue claims in excess of twenty         \$18         \$18*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filing fee         \$160         \$160*           Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130	Independent claims in excess of three (Small Entity)	\$42	\$43
Multiple dependent claim         \$280         \$290           Multiple dependent claim (Small Entity)         \$140         \$145           Surcharge - Late filling fee         \$130         \$130           Surcharge - Late filling fee (Small Entity)         \$65         \$65           Design filling fee         \$330         \$340           Design filling fee (Small Entity)         \$165         \$170           Plant filling fee         \$520         \$530           Plant filling fee (Small Entity)         \$260         \$265           Reissue filling fee (Small Entity)         \$260         \$265           Reissue inling fee (Small Entity)         \$375         \$385           Reissue independent claims (Small Entity)         \$42         \$43           Reissue claims in excess of twenty         \$18         \$18*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filling fee         \$160         \$160*           Provisional application filling fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filling fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130         \$130*           Extension - First month (Small Entity)         \$25			
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Design filing fee (Small Entity)         \$165         \$170           Plant filing fee         \$520         \$530           Plant filing fee (Small Entity)         \$260         \$265           Reissue filing fee         \$750         \$770           Reissue filing fee (Small Entity)         \$375         \$385           Reissue independent claims         \$84         \$86           Reissue independent claims (Small Entity)         \$42         \$43           Reissue claims in excess of twenty         \$18         \$18^*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filing fee         \$160         \$160^*           Provisional application filing fee (Small Entity)         \$80         \$80^*           Surcharge - Late provisional filing fee         \$50         \$50^*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25^*           Non-English Specification         \$130         \$130^*           Extension - First month         \$110         \$110^*           Extension - First month (Small Entity)         \$55         \$55^*           Extension - Second month (Small Entity)         \$205         \$210           Extension - Fourth month (Small Entity)         \$465			
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Reissue filing fee (Small Entity)         \$375         \$385           Reissue independent claims         \$84         \$86           Reissue independent claims (Small Entity)         \$42         \$43           Reissue claims in excess of twenty         \$18         \$18*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filing fee         \$160         \$160*           Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130         \$130*           Extension - First month         \$110         \$110*           Extension - First month (Small Entity)         \$55         \$55*           Extension - Second month (Small Entity)         \$205         \$210           Extension - Third month (Small Entity)         \$465         \$475           Extension - Fourth month         \$1,450         \$1,480           Extension - Fourth month (Small Entity)         \$725         \$740           Extension - Fifth month (Small Entity)         \$985         \$1,005           Petition         \$130         \$130*			
Reissue independent claims         \$84         \$86           Reissue independent claims (Small Entity)         \$42         \$43           Reissue claims in excess of twenty         \$18         \$18*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filing fee         \$160         \$160*           Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee         \$50         \$50*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130         \$130*           Extension - First month         \$110         \$110*           Extension - First month (Small Entity)         \$55         \$55*           Extension - Second month (Small Entity)         \$410         \$420           Extension - Third month (Small Entity)         \$930         \$950           Extension - Third month (Small Entity)         \$465         \$475           Extension - Fourth month (Small Entity)         \$725         \$740           Extension - Fifth month         \$1,970         \$2,010           Extension - Fifth month (Small Entity)         \$985         \$1,005           Petition		\$750	\$770
Reissue independent claims (Small Entity)       \$42       \$43         Reissue claims in excess of twenty       \$18       \$18*         Reissue claims in excess of twenty (Small Entity)       \$9       \$9*         Provisional application filing fee       \$160       \$160*         Provisional application filing fee (Small Entity)       \$80       \$80*         Surcharge - Late provisional filing fee       \$50       \$50*         Surcharge - Late provisional filing fee (Small Entity)       \$25       \$25*         Non-English Specification       \$130       \$130*         Extension - First month       \$110       \$110*         Extension - First month (Small Entity)       \$55       \$55*         Extension - Second month (Small Entity)       \$40       \$420         Extension - Second month (Small Entity)       \$205       \$210         Extension - Third month (Small Entity)       \$465       \$475         Extension - Fourth month       \$1,450       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*	Reissue filing fee (Small Entity)		\$385:
Reissue claims in excess of twenty         \$18         \$18*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filing fee         \$160         \$160*           Provisional application filing fee         \$160         \$160*           Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee         \$50         \$50*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130         \$130*           Extension - First month         \$110         \$110*           Extension - First month (Small Entity)         \$55         \$55*           Extension - Second month         \$410         \$420           Extension - Second month (Small Entity)         \$205         \$210           Extension - Third month (Small Entity)         \$465         \$475           Extension - Fourth month (Small Entity)         \$725         \$740           Extension - Fifth month         \$1,970         \$2,010           Extension - Fifth month (Small Entity)         \$985         \$1,005           Petition         \$130         \$130*		\$84	\$86
Reissue claims in excess of twenty (Small Entity)         \$9*           Provisional application filing fee         \$160           Provisional application filing fee (Small Entity)         \$80           Surcharge - Late provisional filing fee         \$50           Surcharge - Late provisional filing fee (Small Entity)         \$25           Surcharge - Late provisional filing fee (Small Entity)         \$25           Non-English Specification         \$130           Extension - First month         \$110           Extension - First month (Small Entity)         \$55           Extension - Second month         \$410           Extension - Second month (Small Entity)         \$205           Extension - Third month         \$930           Extension - Third month (Small Entity)         \$465           Extension - Fourth month         \$1,450           Extension - Fourth month (Small Entity)         \$725           Extension - Fifth month         \$1,970           Extension - Fifth month (Small Entity)         \$985           Extension - Fifth month (Small Entity)         \$985           Petition         \$130	Reissue independent claims (Small Entity)	\$42	\$43
Provisional application filing fee         \$160         \$160*           Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee         \$50         \$50*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130         \$130*           Extension - First month         \$110         \$110*           Extension - First month (Small Entity)         \$55         \$55*           Extension - Second month         \$410         \$420           Extension - Second month (Small Entity)         \$205         \$210           Extension - Third month         \$930         \$950           Extension - Fourth month (Small Entity)         \$465         \$475           Extension - Fourth month (Small Entity)         \$725         \$740           Extension - Fifth month         \$1,970         \$2,010           Extension - Fifth month (Small Entity)         \$985         \$1,005           Petition         \$130         \$130*			
Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee         \$50         \$50*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130         \$130*           Extension - First month         \$110         \$110*           Extension - First month (Small Entity)         \$55         \$55*           Extension - Second month         \$410         \$420           Extension - Second month (Small Entity)         \$205         \$210           Extension - Third month         \$930         \$950           Extension - Third month (Small Entity)         \$465         \$475           Extension - Fourth month         \$1,450         \$1,480           Extension - Fifth month         \$1,970         \$2,010           Extension - Fifth month (Small Entity)         \$985         \$1,005           Petition         \$130         \$130*	Reissue claims in excess of twenty (Small Entity)		
Surcharge - Late provisional filing fee       \$50       \$50*         Surcharge - Late provisional filing fee (Small Entity)       \$25       \$25*         Non-English Specification       \$130       \$130*         Extension - First month       \$110       \$110*         Extension - First month (Small Entity)       \$55       \$55*         Extension - Second month       \$410       \$420         Extension - Second month (Small Entity)       \$205       \$210         Extension - Third month       \$930       \$950         Extension - Third month (Small Entity)       \$465       \$475         Extension - Fourth month       \$1,450       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*			
Surcharge - Late provisional filing fee (Small Entity)       \$25       \$25*         Non-English Specification       \$130       \$130*         Extension - First month       \$110       \$110*         Extension - First month (Small Entity)       \$55       \$55*         Extension - Second month       \$410       \$420         Extension - Second month (Small Entity)       \$205       \$210         Extension - Third month       \$930       \$950         Extension - Third month (Small Entity)       \$465       \$475         Extension - Fourth month       \$1,450       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*			
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Extension - First month (Small Entity)       \$55       \$55*         Extension - Second month       \$410       \$420         Extension - Second month (Small Entity)       \$205       \$210         Extension - Third month       \$930       \$950         Extension - Third month (Small Entity)       \$465       \$475         Extension - Fourth month       \$1,450       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*	Non-English Specification		
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Extension - Second month (Small Entity)       \$205       \$210         Extension - Third month       \$930       \$950         Extension - Third month (Small Entity)       \$465       \$475         Extension - Fourth month       \$1,450       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*	Extension - First month (Small Entity)		THE PARTY OF THE P
Extension - Third month       \$930       \$950         Extension - Third month (Small Entity)       \$465       \$475         Extension - Fourth month       \$1,450       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*			
Extension - Third month (Small Entity)       \$465       \$475         Extension - Fourth month       \$1,450       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*			,,
Extension - Fourth month       \$1,450       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*	Extension - Third month		\$950
Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*	Extension - Third month (Small Entity)	\$465	\$475
Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*		\$1,450	\$1,480
Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130	Extension - Fourth month (Small Entity)	\$725	
Petition \$130 \$130*	Extension - Fifth month	\$1,970	\$2,010
<u>`</u>	Extension - Fifth month (Small Entity)	\$985	
Processing returned checks \$50 \$50*	Petition		
	Processing returned checks	\$50	\$50* <sub>-</sub>

For further fee information, please call (703-308-HELP)